Transition Structures of Methane Elimination in Pentamethylniobium and Pentamethyltantalum[†]

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Abstract: Intramolecular methane elimination through α -hydrogen abstraction in M(CH₃)₅ (M = Nb, Ta) has been studied in detail with *ab initio* quantum mechanics calculations. Geometry optimizations were performed with the 3-21G and HW3 (equivalent to the 6-31G*) basis sets. The energies were further evaluated with the MP2/HW3 calculations. Although the M(CH₃)₅ reactants significantly favor square-planar structures, the most favorable transition structure for unimolecular methane elimination is close to a trigonal- bipyramidal geometry. Hydrogen abstraction is concerted, although there is significant M-H interaction in the transition structure. The calculated activation free energy is 35.3 and 37.3 kcal/mol for Nb(CH₃)₅ and Ta(CH₃)₅, respectively, at the best level of calculation. A dimeric mechanism through intermolecular hydrogen abstraction is found to be much lower in activation free energy than the unimolecular mechanism. The stabilization for the dimeric transition structure is mainly due to the formation of an M-CH₂-M bridge. Intramolecular methane eliminations in (CH₃)₄M-CH₂-M(CH₃)₄ were also studied.

The discovery by Schrock of α -hydrogen abstraction in simple alkyl derivatives of high-oxidation-state niobium and tantalum to form alkylidene and alkylidyne derivatives¹⁻⁴ has resulted in the development of new fields of organic chemistry: catalytic olefin metathesis⁵ and thin-film materials⁶ by vapor deposition technique.⁷ It is important to understand the factors that determine the stabilities of these compounds and the mode of alkane elimination. For example, Nb(CH₃)₅ and Ta(CH₃)₅ undergo decomposition readily at -30 °C and room temperature, respectively. The reaction is autocatalytic and gives a nonhydrolyzable metal-containing residue which has the approximate composition $MC_{1.5}H$ with elimination of 3.4 units of methane.¹ More sterically crowded Ta(CH₂Ph)₅ is much more stable and decomposes fairly quickly only under elevated temperatures (80 °C).¹ This reaction is apparently in a firstorder kinetics and eliminates 2.6 units of toluene from each Ta(CH₂Ph)₅.⁸ Recently, one of us (Z. Xue) found that the

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reaction of Ns₃TaCl₂ (Ns = CH₂SiMe₃) with two molecules of LiNs smoothly forms Ns₅Ta.⁹ Ns₅Ta decomposes readily with a first-order kinetics to form Ns₃Ta=CHSiMe₃,^{9,10} which further decomposes to give the Wilkinson's compound.¹¹ It has also been found that the formation of Np₃Ta=CHCMe₃ (Np = CH₂CMe₃) from neopantane elimination of Np₅Ta is fast at room temperature.^{2,9} Here steric effect seems to play a crucial role. Schrock has postulated that when the alkyl group is small, e.g. methyl, elimination is through a rate-determining dimeric intermolecular α -hydrogen abstraction as the first step.¹ When the alkyl becomes bulkier, the dimeric mechanism becomes unfavorable for steric reasons, but a unimolecular mechanism becomes feasible because of a release of steric interactions.

Detailed information of the geometrical and electronic features of these compounds and the transition structure of α -hydrogen abstraction is essential to the understanding of the above seemingly steric effect. Cundari and Gordon have reported *ab initio* calculations on the transition structures of small molecule elimination from group IVB (Ti, Zr, Hf) amido complexes.¹² Their calculations reveal the relative reactivities of these compounds and the features of transition structures. Kang *et al.* recently provided a theoretical explanation for the structures of d° ML₆ and ML₅ complexes.¹³ In particular, they studied the structural features of Ta(CH₃)₅ in great detail.¹³ In agreement with recent experimental determination by Haaland *et al.*,¹⁴ they found a preference of about 9 kcal/mol for a squarepyramidal structure over a trigonal-bipyramidal structure.

In this paper, we report our *ab initio* quantum mechanics study of unimolecular and dimeric methane eliminations of Nb(CH₃)₅ and Ta(CH₃)₅. In clear support of Schrock's hypothesis, we found that unimolecular methane elimination is

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[†] Dedicated to Professor Paul von Ragué Schleyer on the occasion of his 65th birthday.

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Table 1. Calculated Number of Imaginary Frequency, Zero-Point Energy (ZPE, kcal/mol), Thermal Energy (ΔH°_{298} , kcal/mol), and Entropy (*S*, cal/(mol·K)) of the Species Involved in Nb(CH₃)₅ Decomposition

species	no. of imaginary freq	ZPE	ΔH°_{298}	S
CH ₄	0	30.1	31.9	44.4
Nb(CH ₃) ₅ SP (1)	0	118.6	126.4	112.1
Nb(CH ₃) ₅ TB (2)	3	116.0	123.1	101.4
Nb(CH ₃) ₅ TS (3)	1	115.1	122.8	106.2
Nb(CH ₂)(CH ₃) ₃ (4)	0	84.4	91.2	103.1
Nb(CH ₃) ₅ -Nb(CH ₃) ₅ TS (9)	1	238.2	253.3	159.9
Nb(CH ₃) ₅ -Nb(CH ₃) ₅ TS (10)	1	238.5	253.6	159.0
Nb(CH ₃) ₅ -Nb(CH ₃) ₅ TS (11)	1	237.3	252.8	165.9
(CH ₃)4Nb(CH ₂)Nb(CH ₃) ₄ (12)	0	207.5	221.6	155.9
(CH ₃)4Nb(CH ₂)Nb(CH ₃) ₄ TS (13)	1	205.5	218.8	147.0
(CH ₃) ₄ Nb(CH ₂)Nb(CH ₃) ₄ TS (14)	1	204.7	218.8	157.1
(CH ₃) ₃ Nb(CH ₂) ₂ Nb(CH ₃) ₃ (15)	0	174.5	187.0	146.3
(CH ₃) ₄ Nb(CH)Nb(CH ₃) ₃ (16)	0	174.6	187.8	153.3

quite high in activation energy, and a dimeric mechanism has a much lower activation energy. The detailed geometrical features of reactants and transition structures also provide information for the understanding of the steric effect.

Calculation Methods

All calculations were done with the GAUSSIAN 92/DFT program of Pople.¹⁵ For the niobium systems, geometries were fully optimized first with the closed-shell Hartree-Fock method and the all-electron 3-21G basis set of Hehre.¹⁶ Harmonic vibration frequencies were calculated for each structure, based on which thermal energy correction and reaction entropy were calculated. The geometries were further optimized with the HW3 basis set according to Frenking's definition: ¹⁷ which was constructed by contraction scheme [3311/2111/311] +ECP on a 28 electron core for the niobium atom^{17c} and the 6-31G* basis set for carbon and hydrogen atoms. The energy for each structure was also calculated by the MP2/HW3 method on the HF/HW3 geometries. For the tantalum systems, since the 3-21G basis set for tantalum is not available, geometry optimizations were performed with the HW3 basis set, [3311/2111/211] + ECP on a 60 electron core for the tantalum atom^{17d} and the 6-31G* basis set for carbon and hydrogen atoms. This was followed by MP2/HW3 energy calculation. Vibration frequency calculations were not performed for the tantalum systems. Since the geometries of the tantalum systems are very similar to those of the corresponding niobium systems, we have attempted to apply the vibration calculations for the niobium systems to the tantalum systems.

Results and Discussion

The calculated total energies of reactants, transition structures, and products of the unimolecular and dimeric reactions of Nb(CH₃)₅ and Ta(CH₃)₅ are given in Table 1 of the supporting information. The calculated number of imaginary frequency, zero-point energy (ZPE), thermal energy, and entropy of the species involved in Nb(CH₃)₅ decomposition are shown in Table 1. Table 2 gives calculated changes in energies, enthalpies, and entropies of the decomposition reactions.

A. Unimolecular Mechanism. Figures 1 and 2 show the geometries of the reactant, transition structure, and alkylidene product unimolecular methane elimination of $Nb(CH_3)_5$ and Ta-

Table 2.	Calculated	Changes	in Energies	s (ΔE , kcal/mol) and in	
the Cases	of Niobium	Systems	Calculated	Changes in Enthalpies	
$(\Delta \Delta H^{\circ}_{298},$	kcal/mol) a	nd Entro	pies (ΔS_{298} ,	cal/(mol·K))	

	HF/3-21G			HF/ HW3	MP2/HW3// HF/HW3
2 	ΔE	$\Delta\Delta H^{\circ}_{298}$	ΔS_{298}	ΔE	ΔE
$(1) \rightarrow (3)$	54.7	-3.6	-5.9	56.1	37.2
$(2) \rightarrow (3)$	39.3	-0.3	4.8	45.0	21.2
$(1) \rightarrow (4) + CH_4$	5.0	-3.2	35.4	2.7	2.4
$(5) \rightarrow (7)$				57.4	39.2
$(6) \rightarrow (7)$				51.7	30.5
$(5) \rightarrow (8) + \mathrm{CH}_4$				7.2	5.7
$(1) + (1) \rightarrow (9)$	46.4	0.5	-64.2	53.5	13.2
$(1) + (1) \rightarrow (12) + CH_4$	-10.6	0.8	-23.8	-8.7	-23.6
$(12) \rightarrow (13)$	50.5	-2.8	-8.9	56.2	26.4
$(12) \rightarrow (14)$	44.6	-2.8	1.2	46.5	26.2
$(12) \rightarrow (15) + CH_4$	-9.2	-2.7	34.8	-8.1	-19.5
$(12) \rightarrow (16) + CH_4$	-8.1	-2.0	41.8	-10.4	-13.7
$(5) + (5) \rightarrow (17)$				53.9	15.5
$(5) + (5) \rightarrow (20) + CH_4$				-9.9	-23.0
$(20) \rightarrow (21)$				58.3	30.3
$(20) \rightarrow (22)$				47.8	28.6
$(20) \rightarrow (23) + \mathrm{CH}_4$				-5.0	-13.6
$(20) \rightarrow (24) + \mathrm{CH}_4$				-7.2	-10.7



Figure 1. Geometries of the reactant, transition structure, and alkylidene product of unimolecular methane elimination in Nb(CH₃)₅ calculated with the 3-21G (in parentheses) and HW3 basis set.

(CH₃)₅, respectively. In general, the geometrical parameters for the two systems are very similar, especially the Nb–C and Ta–C bond lengths. This is in agreement with the X-ray crystal structures of Nb and Ta compounds.¹⁸ Therefore, discussions of geometries will be based mainly on the niobium system. The calculated geometries are very similar with the 3-21G and HW3 basis sets as can be seen in Figure 1. In agreement with the results of Kang *et al.* a square-pyramidal structure (1 and 5) is most stable for both compounds. A trigonal-bipyramidal structure (2 and 6) with a C_3 symmetry constraint has three imaginary vibration frequencies and is 16 and 8.7 kcal/mol less

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Figure 2. Same as Figure 1 except for Ta(CH₃)₅.

stable than the square-pyramidal structures for Nb(CH₃)₅ and Ta(CH₃)₅, respectively.¹⁹ The preference for the squarepyramidal structures over trigonal-pyramidal has been rationalized by Kang *et al.*¹³ When the ligands are not π -donors, as in the current cases, the filled axial L-M-L orbital, which is mainly of p character at the metal in a trigonal-bipyramidal structure, can mix with one of the low-lying empty metal d orbitals as shown by structure **A**. This orbital mixture leads to



a second-order Jahn–Teller distortion to a favorable squarepyramidal structure. Such Jahn–Teller distortion is absent when the ligands are π -donors such as in the cases of VF₅,²⁰ NbF₅,²¹ TaF₅,²¹ and TaBr₅.²² Calculated natural atomic charges²³ at Nb and Ta atoms agree with the above argument. The metal atoms in structures **2** and **6** are about 0.24 and 0.15 unit more positively charged than those in structures **1** and **5**, respectively, reflecting the d–p interaction in the square-pyramidal structures.

Only one transition structure can be located for the unimolecular methane elimination for both systems. As shown by structures 3 and 7, the transition structure is basically a distorted trigonal-bipyramidal structure with the methane elimination from one of the axial positions. The basic features of the transition structure are similar to those found by Cundari *et al.* for small

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molecule elimination from Ti, Zr, and Hf amido complexes.¹² That is, the breaking M-C bond is about 0.3 Å longer than a normal M–C single bond. The M= $C(H_2)$ bond is almost fully formed, with the carbon center nearly planar. The two partially formed C-H bonds are close to 1.5 Å, which is about 0.1 Å longer than those in the transition structures of hydride transfer reactions,²⁴ hydrogen abstraction reactions,²⁵ and pericyclic hydrogen-shift reactions.²⁶ One factor for this may be the nature of intramolecular hydrogen abstraction which does not allow a smaller C-M-C angle. As will be seen later, the partially formed C-H bonds are shorter in the transition structures of intermolecular hydrogen abstraction (Figure 3). Another factor might be a stabilization of the transferring hydrogen by the metal, referred to as agostic interaction.²⁷ Based on the Mulliken bond overlap population analysis, Cundari et al. suggested that this interaction might be quite significant.¹² The Nb-H and Ta-H in transition structures 3 and 7 are only about 0.14 Å longer than those in H₃Nb=CH₂ and H₃Ta=CH₂, respectively.

Schleyer and co-workers reported theoretical studies of degenerate hydrogen transfer between methane and methyllithium²⁸ and of Zieglar dilithiomethane formation from the decomposition of aggregated methyllithium.²⁹ They suggest that these reactions are best considered to be hydrogen atom transfer processes since natural population analysis indicates that partial bonds between the transferring hydrogen and the carbon atoms are largely covalent. The charge on the transferring hydrogen atom (+0.26) is only slightly higher than that on the carbon-bound hydrogens (+0.20).^{28,29} Similar features are found in the *current transition structures*. The charge on the transferring hydrogen is about +0.25 as shown in Figures 1 and 2.

The calculated free energy of activation at room temperature (MP2/HW3 energy with 3-21G thermal energy and entropy) with respect to the square-pyramidal structure is 35.3 kcal/mol for Nb(CH₃)₅ and 37.3 kcal/mol for Ta(CH₃)₅. These activation energies are too high for the reactions to occur at room temperature. Therefore, it can be concluded that methane elimination in M(CH₃)₅ does not occur unimolecularly.

The large activation energy for the intramolecular methane elimination is partially due to the geometrical difference between the reactant and transition structure. While the reactant strongly prefers a square-pyramidal structure, the transition structure has to adapt a nearly trigonal-bipyramidal structure. This allows axial methane elimination with the remaining structure in a tetrahedral geometry. A square-pyramidal transition structure could not be located because it would cause too much strain for the formation of the M=C bond.

Based on the above geometrical features, the steric effect on the stability and decomposition mechanism can be qualitatively rationalized. It would be expected that when the alkyl ligands become significantly larger than methyl, for example, CH₂-SiMe₃,⁹ steric interactions will force the reactant to adapt a trigonal-bipyramidal structure. Therefore, no major geometrical change is needed for intramolecular hydrogen abstraction. Along with the release of steric interactions in the transition

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Figure 3. Three transition structures (9-11, 17-19) and product (12, 20) of the dimeric methane elimination of Nb(CH₃)₅ and Ta(CH₃)₅. Selected geometrical parameters with the HW3 basis set are given (Ta structures in parentheses).

structure as proposed by Schrock, the activation energy is expected to be much reduced for the unimolecular alkane elimination. This explains why bulky alkyl metal complexes are not stable.^{2,9}

The alkylidene products **4** and **8** are nearly tetrahedral.³⁰ Each structure is slightly distorted from a C_s symmetrical geometry with one of the methyl groups nearly perpendicular to the M=CH₂ plane. This is similar to the H₃M=CH₂ (M = Nb, Ta) systems reported by Cunderi *et al.*³¹

B. Dimeric Mechanism. The possible dimeric form for $Nb(CH_3)_5$ was examined with the 3-21G basis set. Starting either from a methyl-bridged structure, $(CH_3)_5Nb$ - - CH_3 - - $Nb(CH_3)_5$, or a structure with full methyl transfer, geometry optimization led to two separated $Nb(CH_3)_5$, apparently due to steric reasons. We conclude that both $Nb(CH_3)_5$ and $Ta(CH_3)_5$ are monomeric in solution.

There are two possible dimeric mechanisms for methane elimination. In one possibility, hydrogen abstraction is intramolecular as discussed above. A second $M(CH_3)_5$ stabilizes the transition structure by metal coordination to the forming CH_2 , possibly coupled with an intermolecular methyl transfer, as shown by **B**. In the other possibility, hydrogen abstraction is intermolecular, as shown by mode **C**.



Figure 3 shows three transition structures of dimeric methane elimination (9-11, 17-19). Each structure is clearly in mode C, as indicated by the fact that C_t-M_2 is shorter than C_t-M_1 . The M_1 center of each structure can be considered as being in

a trigonal-bipyramidal conformation in which the CH_{3} - -H --CH₂ is just like an axial group. The two partially formed M_1 -C bonds are of similar length. The C- M_1 -C angle is about 70°, considerably smaller than that in transition structures **3** and **7**. Consequently, the two partially formed C-H bonds are somewhat shorter than those in structures **3** and **7** but are close to those in the reported transition structures of hydride transfer reactions and pericyclic hydrogen shift reactions.^{24,26}

The M_2 center of each structure is in a square-pyramidal geometry with the transferring CH₃ either in the axial or an equatorial position, as in $M(CH_3)_5$. In structures 9 and 17 the C_t is axial, while in structures 10, 18, 11, and 19 it is equatorial. Structure 9 is calculated to be more stable than structures 10 and 11 by 7.0 and 9.2 kcal/mol, respectively, and structure 17 is more stable than structures 18 and 19 by 6.4 and 8.8 kcal/ mol, respectively. This axial preference is understandable based on both electronic and steric arguments. Electronically, the Ct is the most electronegative of the carbon atoms, and the C_t -Nb bond is of double-bond character. Therefore, the $C_t - M - C$ angles should be larger than the other C-M-C angles. This can be nicely accommodated when C_t is axial. Sterically, it is most favorable for the largest group to be axial. It is also noted that structures 10 and 18 are more stable than structures 11 and **19** by 2.2 and 2.4 kcal/mol, respectively. While in structures 10 and 18 the C_t-M_2 is nearly anti to the C_t-H_t ($\angle H_t-C_t-M_2$) = 165°), the M₂ and H_t are adjacent in structures 11 and 19 as indicated by the $H_t - C_t - M_2$ angle of about 112°.

The calculated activation enthalpy (Table 2, $\Delta E_{\text{MP2/HW3}} + \Delta \Delta H^{\circ}_{298}$) with structures **9** and **17** is 13.7 and 16.0 kcal/mol for Nb(CH₃)₅ and Ta(CH₃)₅, respectively. These activation enthalpies are significantly lower than those of monomeric methane elimination. This is apparently due to the formation of M-C-M bridging. It is also reflected in the reaction enthalpies. The calculated enthalpy is -0.9 kcal/mol for the reaction of Nb(CH₃)₅ (1) to form Nb(CH₃)₃CH₂ (4) and methane, but it is -22.8 kcal/mol for the dimeric mechanism.

How can we account for the loss of entropy in the dimeric mechanism? The calculated activation entropy with transition structure 9 is -64.2 cal/(mol·K). This contributes significantly

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Figure 4. Transition structures and products of intramolecular methane elimination of $(CH_3)_4M-CH_2-M(CH_3)_4$, M = Nb, Ta. Selected geometrical parameters with the HW3 basis set are also given (Ta structures in parentheses).

to the activation free energy. For example, at room temperature, $T\Delta S_{298}$ is 19.1 kcal/mol. This calculated loss of entropy in the transition structure corresponds to the gas phase. Thus, the calculated activation free energy for the dimeric methane elimination of Nb(CH₃)₅ in the gas phase is about 32.8 kcal/ mol, which is similar to that for the unimolecular mechanism. However, a closer look reveals that the loss of entropy is mainly due to the loss of translation (-39.2 cal/(mol·K)) and rotation (-19.3 cal/(mol·K)). The entropy loss due to the vibration term is only about -5.7 cal/(mol·K). The loss of translation and rotation entropies should be much smaller in solution compared to the gas-phase reaction. Although a quantitative number is difficult to determine by calculations, we expect that the loss of entropy should not contribute significantly to the activation free energy for the two reactions. We conclude that the dimeric methane elimination of Nb(CH₃)₅ and Ta(CH₃)₅ in solution should have much lower activation energy than the unimolecular mechanism. This supports Schrock's postulation.¹ It also explains why $Ta(CH_3)_5$ is stable in a dilute solution.^{1,4}

The calculated activation energy (see Figure 3) for the reaction of two $Nb(CH_3)_2$ is about 2 kcal/mol smaller than that for the reaction of two $Ta(CH_3)_5$. This qualitatively agrees with the fact that $Nb(CH_3)_5$ is less stable than $Ta(CH_3)_5$ and decomposes at lower temperatures.

Structures 12 and 20 are the product from dimeric methane elimination of Nb(CH₃)₅ and Ta(CH₃)₅, respectively. Each metal center is square pyramidal. The bridging CH₂ is equatorial with respect to M1 and axial with respect to M2. This structure is slightly more stable than a structure with the bridging CH₂ axial with respect to both metal centers. In the later structure there are more steric interactions among the methyl groups on the two metal centers. The two bridging $M-C(H_2)$ bonds are similar to other M-C bonds. The M-C-M angle is about 18° larger than a tetrahedral angle apparently due to steric interactions.

C. Further Decomposition. What would be the mechanism of further decomposition in the formation of $MC_{1.5}H$ residue? As pointed out by Schrock *et al.*, the mechanism must be a

complicated one. Three reactions can be considered for the product from dimeric methane elimination. The first is the reaction with another molecule of $M(CH_3)_5$ to form $(CH_3)_4M-CH_2-M(CH_3)_3-CH_2-M(CH_3)_4$. This reaction should have similar reactivity as the dimeric methane elimination that has just been discussed. The second reaction involves methane elimination similar to the dimeric mechanism to form a dibridged structure $(CH_3)_3M(CH_2)_2M(CH_3)_3$. In the third reaction the methane elimination is achieved by intramolecular hydrogen abstraction on the CH₂ bridgehead to form $(CH_3)_3 - M - CH - M(CH_3)_4$.

The transition structures and products for the second and third reactions are shown in Figure 4. In structures 13 and 21, the methane elimination is similar to the intermolecular hydrogen abstraction. The overall structure is similar to structure 9 except for the additional M1-CH₂-M2 bridge which exists in the reactant. Thus, the methane elimination is from the axial direction. The M1(CH₂)₂M2 unit is a puckered four-membered ring. The calculated activation energy ($\Delta E_{MP2/HW3}$) is 26.4 and 28.6 kcal/mol with structures 13 and 21, respectively. These activation energies are considerably higher than those calculated for the dimeric methane elimination which are 13.6 and 15.5 kcal/mol. First of all, the formation of the four-membered ring introduces ring strain. Second, also due to the ring structure, the transition structure cannot adapt an ideal geometry as in structures 9 and 17.

Transition structures 14 and 22 are similar to transition structures 3 and 7 except for the replacement of one $M=CH_2$ hydrogen by a $M(CH_3)_4$ group. Thus, the reactive metal center is nearly trigonal-bipyramidal with axial methane elimination. The calculated activation energies for the two structures are about 10 kcal/mol lower than those of transition structures 3 and 7. The presence of the bystander metal center reduces activation energy because of the M-CH-M conjugation stabilization. This delocalization stabilization is even larger (about 15 kcal/mol) in the products 16 and 24.

D. Summary. Quantum mechanics *ab initio* calculations have been carried out for the unimolecular and dimeric methane

elimination of $M(CH_3)_5$, M = Nb, Ta. The transition structure for the unimolecular reaction is in a nearly trigonal-bipyramidal geometry. The calculated activation free energy at room temperature for the reaction is about 35 and 37 kcal/mol for M = Nb and Ta, respectively. In agreement with Schrock's hypothesis, this rules out the unimolecular mechanism for the decomposition of M(CH₃)₅. The high activation energy is largely due to a strong preference of the reactant for a squarepyramidal geometry. When steric bulkiness is introduced to the alkyl ligands, the activation energy should be reduced because a trigonal-bipyramidal transition structure should have least steric interactions. Dimeric methane elimination through intermolecular hydrogen abstraction is calculated to be much lower in activation energy than unimolecular methane elimination. This is mainly due to the formation of M-CH₂-M bridging in the transition structure and a favorable C-M-C angle (about 70°) for hydrogen transfer.

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Supporting Information Available: Table of calculated energies of reactants, transition structures, and products of the unimolecular and dimeric reactions of Nb(CH₃)₅ and Ta(CH₃)₅ (1 page). This material is contained in many libraries on microfiche, immediately follows this article in the microfilm version of the journal, can be ordered from the ACS, and can be downloaded from the Internet; see any current masthead page for ordering information and Internet access instructions.

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